

BRICs and Order: Political and Economic Reform In Rapidly Developing Countries

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Course Time: Monday 2-4 pm
Course Location: Room 212
Office Hours: Monday 1-2 pm
Office Location: 303

Course description:

In 1956, Lucio Costa and Oscar Niemeyer designed Brasília, creating a spatial order where virtually none had existed (only the founding of Petersburg and Constantinople share this with the Brazilian capital). This position is rare and enviable. No matter how many politicians, in whatever type of regime, reject the policies of predecessors and attempt to craft anew, politicians and political scientists begin their prescriptions and analysis within a specific context. This course will analyze political economy by contextualizing the reform agenda of the last three decades in the BRIC (Brazil, Russia, India and China) countries.

Developing countries have undergone considerable 'reform' in recent decades and many of the world's largest ones have experienced very rapid growth. Reform implies a number of things: 1) real but not revolutionary change; and 2) that previous political economic arrangements were considered to be suboptimal. Throughout the course, we will examine how academics have approached policy-making in developing countries paying particular attention to reforms in the area of governance, foreign, social and economic policy. The course reflects on the ideas and approaches of 'reform' as well as the practical experience of 'what worked.'

Books:

Colton, Timothy J. and Stephen Holmes Ed. *The State after Communism: Governance in the New Russia*. Lanham: Rowman & Littlefield. 2006.
Font, Mauricio and Anthony Peter Spanakos with Cristina Bordin. *Reforming Brazil*. Lanham: Lexington Books. 2004.
George, Alexander L. and Andrew Bennett. *Case Studies and Theory Development in the Social Sciences*. Cambridge: MIT Press. 2005

Krueger, Anne O. Ed. *Economic Policy Reform: The Second Stage*. Chicago: University of Chicago Press. 2000.

Lichbach, Mark Irving and Alan S. Zuckerman. *Comparative Politics: Rationality, Culture, and Structure*. Cambridge University Press. 1997.

Lora, Eduardo Ed. *The State of State Reform in Latin America*. Washington: Inter-American Development Bank. 2007. also Stanford University Press

Wong, John and Lai Hongyi Ed. *China Into the Hu-Wen Era: Policy Initiatives and Challenges*. Hackensack: World Scientific. 2006

Course Requirements:

Students will write one analytical literature review which they will present to the class. They will also write three 'anonymous reviews' of colleague's work. The literature review will be 8-12 pages while the anonymous reviews will be 1-2 pages in length. The former will critically evaluate the literature to be discussed for the week, while the latter will assess the strengths and weakness of the literature review. When doing the literature review, students will be expected to find readings outside of the syllabus. They will also send their reviews via email three days before their presentations so that the anonymous reviewers will have a chance to write their reviews.

Literature reviews should go beyond the readings for the week, link those readings to the theme of the week, apply them to larger theoretical issues or apply the findings of the week's readings empirically

Students will also write a term paper (18-24 pages) focusing on political and/or economic reform.

Participation	20%
Analytical literature review/Presentation	30%
Anonymous reviews	10%
Final paper	40%

I *Introduction*

II *Methods, Case Studies, and White Papers*

George, Alexander L. and Andrew Bennett. *Case Studies and Theory Development in the Social Sciences*. Cambridge: MIT Press. 2005.

Mark Irving Lichbach and Alan S. Zuckerman. *Comparative Politics: Rationality, Culture, and Structure*. Cambridge University Press. 1997. Chapters by Lichbach and Zuckerman, Zuckerman,

Yanow, Dvora. "Interpretation in Policy Analysis: On Methods and Practice." *Critical Policy Analysis*. 1 (1). 2007. Pp. 109-121. available on Yanow's website http://english.fsw.vu.nl/Organization/index.cfm/home_subsection.cfm/subsectionid/8734A0A6-9F25-39C9-729E10151292879E

Rich, Andrew. "The Politics of Expertise in Congress and the New Media." *Social Science Quarterly*. 82 (3). Sept 2001. pp. 583-601.

Martin Hollis and Steve Smith. *Explaining and Understanding International Relations*. Chapters 1, 3 and 4. Oxford: Clarendon Paperbacks. 1991.

Gerring, John with Jason Seawright. *Case Study Research: Principles and Practices*. Cambridge: Cambridge University Press. 2007. Chapter 5.

Gary King, Robert O. Keohane and Sydney Verba. *Designing Social Inquiry*. Princeton University Press. 1994.

Henry E. Brady and David Collier. *Rethinking Social Inquiry: Diverse Tools, Shared Standards*. Rowman & Littlefield Publishers. 2004.

Prasad, Pushkala. *Crafting Qualitative Research: Working in the Postpositivist Traditions*. Armonk: M.E. Sharpe. 2005.

III What is Reform?

Krueger, Anne O. "The Political Economy of the Rent-Seeking State. The American Economic Review." 64 (3). June 1974. Pp. 291-303.

Williamson, John and Stephan Haggard. "The Political Conditions for Economic Reform." *The Political Economy of Policy Reform*. Washington, D.C. 1994.

Harnecker, Marta. "After the Referendum: Venezuela Faces New Challenges." *Monthly Review* Nov. 2004. Vol. 56 (6). Pp. 34-48.

Sunkel, Osvaldo. "From Inward-Looking Development to Development from Within." Osvaldo Sunkel Ed. *Development from Within: Toward a Neostructuralist Approach for Latin America*. Boulder: Lynne Rienner Publishers. 1993.

Krueger Chapters 1, 2, 15, 6
Lora. Chapter 1.

III Reform in Context/Policy Transitions

Williamson, John. "What Does Washington Mean by Policy Reform?" *Latin American Adjustment: How Much Has Happened?* Washington, D.C.: Institute for International Economics. 1990.

Tommasi, Mariano. "The Institutional Foundations of Public Policy." *Economia*. Vol. 6(2). 2006. pp. 1-36.

Wong and Hongyi. Introduction.

Font and Spanakos. Chapter 1.

Colton and Holmes. Chapter 10.

Krueger. Chapters 15, 16.

III Legitimacy

Weatherford, M. Stephen. "Measuring Political Legitimacy." *The American Political Science Review*. 1992. pp. 149-166.

Ross in Mark Irving Lichbach and Alan S. Zuckerman. *Comparative Politics: Rationality, Culture, and Structure*. Cambridge University Press. 1997.

Colton and Holmes. Chapter 1.

Roy, Srirupa. "Seeing a State: National Commemorations and the Public Sphere in India and Turkey." *Society for Comparative Study of Society and History*. 2006. pp 200-232.

- Pani, Narendar. "Icons and Reform Politics in India: The Case of S.M. Krishna." *Asian Survey*. 46 (2). Pp. 238-256.
- Hsu, Carolyn L. "Political Narratives and the Production of Legitimacy: The Case of Corruption in Post-Mao China." *Qualitative Sociology* 24 (1). 2001. pp. 25-54.
- Shue, Vivienne. "Legitimacy Crisis in China?" Peter Hays Gries and Stanley Rosen Ed. *State and Society in 21st-century China: Crisis, contention, and legitimation*. New York: Routledge Curzon. 2004.
- Rubin, Jeffrey W. "Meanings and Mobilizations: A Cultural Politics Approach to Social Movements and States." *Latin American Research Review*. 39 (3). October 2004. pp.106-142.
- Wedeen, Lisa. "Acting 'As If': Symbolic politics and social control in Syria" *Comparative Studies in Society and History* 40 (3). July 1998.
- Coicaud, Jean-Marc. *Legitimacy and Politics: A Contribution to the Study of Political Right and Political Responsibility*. New York: Cambridge University Press. 2002. Translated and edited by David Ames Curtis.
- Scott, James C. *Seeing Like a State: How Certain Schemes to Improve the Human Condition Have Failed*. New Haven: Yale University Press.
- Muthiah Alagappa Ed. *Political Legitimacy in Southeast Asia: The Quest for Moral Authority*. Stanford: Stanford University Press. 1995a.
- Roy, Srirupa. *Beyond Belief: India and the Politics of Postcolonial Nationalism*. Durham: Duke University Press. 2007
- White, Lynne. "Introduction- Dimensions of Legitimacy" and Gilley, Bruce. "Political Legitimacy in Malaysia: Regime Performance in the Asian Context." Lynne White Ed. *Legitimacy: Ambiguities of Political Success of Failure in East and Southeast Asia*. Hackensack: World Scientific. 2005.
- Geertz, Clifford. *The Interpretation of Culture. Selected Essays*. Chapter 11. "The Politics of Meaning." New York: Basic Books. 1973.

IV Credibility

- Schamis, Hector E. and Christopher R. Way. "Political Cycles and Exchange Rate-Based Stabilization." *World Politics* 56 (1). 2003. pp. 43-78.
- Sola, Lourdes. "Financial credibility, legitimacy and political discretion: the Lula da Silva government." Lourdes Sola and Laurence Whitehead Ed. *Statecrafting Monetary Authority: Domestic and Financial Order in Brazil*. Oxford: Centre for Brazilian Studies. 2006.
- Yap, O. Fiona. "Government's credible commitment in economic policy-making: Evidence from Singapore." *Policy Sciences* 36 (3/4) Dec 2003.
- North, Douglass C. and Barry R. Weingast. "Constitutions and Commitment: The Evolution of Institutions Governing Public Choice in Seventeenth Century England." *The Journal of Economic History*. 49 (4) December 1989. pp. 804-832.
- Cukierman, Alex and Mariano Tommasi. "When Does it Take a Nixon to Go to China?" *The American Economic Review*. 88. March 1998. pp. 180-197.
- Levi in Mark Irving Lichbach and Alan S. Zuckerman. *Comparative Politics: Rationality, Culture, and Structure*. Cambridge University Press. 1997.

Sharman, J.C. "Rationalist and Constructivist Perspectives on Reputation." *Political Studies* (55). 2007. pp. 20-37.

Keefer, Philip and David Stasavage. "Checks and Balances, Private Information, and the Credibility of Monetary Commitments." *International Organization*. 54 (4). August 2002. pp. 751-774.

Boylan, Delia M. "[Preemptive strike: Central bank reform in Chile's transition from authoritarian rule.](#)" *Comparative Politics*. 30 (4). Jul 1998. pp. 443.

Grabel, Ilene. "The Political economy of 'policy credibility': the new-classical macroeconomics and the making of emerging economies." *Cambridge Journal of Economics*. 24. 2000. pp.1-19.

Persson, Torsten and Guido Tabellini. "The Credibility Problem." Torsten Persson and Guido Tabellini Ed, *Monetary and Fiscal Policy, Volume 1: Credibility*. Cambridge: The MIT Press. 1994.

Drazen, Allan and Paul R. Masson. "Credibility of Policies Versus Credibility of Policymakers." *The Quarterly Journal of Economics*. August 1994. pp. 735-754.

V and VI Macroeconomic, Stabilization, Role of State

Whitehead, Laurence. "The political dynamics of financial crises in 'emerging market' democracies." Lourdes Sola and Laurence Whitehead Ed. *Statecrafting Monetary Authority: Domestic and Financial Order in Brazil*. Oxford: Centre for Brazilian Studies. 2006.

Krueger. Chapters 3-7, 12-14.

Lora. Chapters 5-9.

Font and Spanakos. Chapters 2-3, 8-9.

Wong and Hongyi. Part Two.

Colton and Holmes. Chapters 2, 6-8.

Nayar, Baldev. "Political Structure and India's Economic Reforms of the 1990s." *Pacific Affairs*. 71 (3). Autumn 1998. pp. 335-358

Stephen Haggard and Robert Kaufman Ed. *The Politics of Economic Adjustment*. Princeton: Princeton University Press. 1992.

Carruthers, Bruce G. *City of Capital: Politics and Markets in the English Financial Revolution*. Princeton: Princeton University Press. 1996.

VII-VIII Democracy, State Capacity, and Governance

Wong and Hongyi. Part One.

Lora. Chapter 2.

Font and Spanakos. Chapters 6-7.

Colton and Holmes. Chapters 2, 4, 9.

Krueger. Chapters 8-11.

Lora. Chapters 10-12.

Font and Spanakos. Chapters 4-5, 10.

Wong and Hongyi. Part Three.

Colton and Holmes. Chapters 5.

Balzer, Harley. "Managed Pluralism: Vladimir Putin's Emerging Regime." *Post-Soviet Affairs*. 19 (3). 2003. pp 189-226.

Dahl, Robert. *Democracy and its Critics*. New Haven: Yale University Press. 1989.

Tilly, Charles. *Democracy*. New York: Cambridge University Press. 2007.

Frances Hagopian and Scott Mainwaring. *The Third Wave of Democratization: Advances and Setbacks*. Cambridge: Cambridge University Press. 2005.

Samuel P. Huntington. *Political Order in Changing Societies*. New Haven: Yale University Press. 1968.

Peter B. Evans, Dietrich Rueschmeyer and Theda Skocpol Ed. *Bringing the State Back In*. Cambridge: Cambridge University Press. 1985.

McFaul, Michael and Kathryn Stoner-Weiss Ed. *After the Collapse of Communism: Comparative Lessons of Transition*. New York: Cambridge University Press. 2004.

McFaul, Michael, Nikolai Petrov and Andrei Riabov Ed. *Between Dictatorship and Democracy: Russian Post-Communist Political Reform*. Carnegie Endowment for International Peace. 2004.

IX Resource Curse

Humphreys, Macartan, Jeffrey D. Sachs, Joseph E. Stiglitz Ed. *Escaping the Resource Curse*. New York: Columbia University Press. 2007.

Mehlum, Halvor, Karl Moene and Ragnar Torvik. "Cursed by Resources of Institutions?" *the World Economy*. 2006. pp. 1117-1131.

Roed Larsen, Erling. "Escaping the Resource Curse and the Dutch Disease?: *When and Why Norway Caught Up with and Forged Ahead of Its Neighbors.*" *The American Journal of Economics and Sociology*. 65 (3). July 2006. pp. 605-640.

Di John, Jonathan. "Oil abundance and violent political conflict: A critical assessment." *Journal of Development Studies*. 43 (6). August 2007. 961-986.

X Corruption

Nye, J.S. "Corruption and Political Development: A Cost-Benefit Analysis." *The American Political Science Review*. 61 (2). June 1967. Pp. 417-427.

Gupta, Akhil. "Blurred Boundaries: The Discourse of Corruption, the Culture of Politics, and the Imagined State." *American Ethnologist*. 22 (2). May. 1995. Pp. 375-402.

Evans, Peter. *Embedded Autonomy*

Sun, Yan. "Reform, State, and Corruption: Is Corruption Less Destructive in China than in Russia?" *Comparative Politics*. 32 (1). October 1999. Pp 1-20.

Lora. Chapters 3, 4.

Wong and Hongyi. "Rule of Law"

Ting, Gong. "Corruption and local governance: the double identity of Chinese local governments in market reform." *The Pacific Review*. 19 (1). March 2006. Pp. 85-102.

Shieh, Shawn. "The Rise of Collective Corruption in China: the Xiamen smuggling case." *Journal of Contemporary China*. 14 (42). February 2005. Pp. 67-91.

Lu, Xiaobo. "Booty Socialism, Bureau-Preneurs, and the State in Transition: Organizational Corruption in China" *Comparative Politics*. 32 (3) April 2000. Pp. 273-294.

XI Local Government, Civil Society

Avritzer, Leonardo. *Democracy and the Public Space in Latin America*. Princeton: Princeton University Press. 2002.

Baiocchi, Gianpaolo. *Militants and Citizens: The Politics of Participatory Democracy in Porto Alegre*. Stanford: Stanford University Press. 2005.

Smilde, David. "Seeing and Not Seeing Venezuela's Bolivarian Democracy." Presented at 2 Jornada of LASA-SVS, May 25-26, 2008, Caracas, forthcoming in Smilde and

Helliner, Duke University Press

Guseva, Ayla. "Friends and Foes: Informal Networks in the Soviet Union." *East European Quarterly*. 41 (3). Fall 2007. pp. 323-347.

Tocqueville, Alexis. *Democracy in America*. New York: Knopf.

Almond, Gabriel A. and Sidney Verba. *The Civic Culture: Political Attitudes and Democracy in Five Nations*. Princeton: Princeton University Press. 1963

Putnam, Robert. 2000

Habermas, Jurgen. *The Structural Transformation of the Public Sphere: an Inquiry into a Category of Bourgeois Society*. Cambridge: MIT Press. 1989.

Cohen, Jean L and Andrew Arato. *Civil Society and Political Theory*. Cambridge: The MIT Press. 1992.

Putnam, Robert et al. *Making Democracy Work: Civic Traditions in Modern Italy*. Princeton: Princeton University Press. 1994.

Putnam, Robert Ed. *Democracies in Flux: The Evolution of Social Capital in Contemporary Society*. New York: Oxford University Press. 2004.

XII Institutions, Presidentialism, and Federalism

North, Douglas. *Institutions, Institutional Change and Economic Performance*. Cambridge: Cambridge University Press. 1990.

Francis Fukuyama, Björn Dressel, and Boo-Seung Chang. "Facing the Perils of Presidentialism?" *Journal of Democracy* 16 (2). April 2005.

Juan J. Linz. And Arturo Valenzuela Ed. *The Failure of Presidential Democracies: Comparative Perspective: Volume One*. Baltimore: The Johns Hopkins Press. 1994.

Stephan Haggard and Mathew D. McCubbins Ed. *Presidents, Parliaments, and Policy*. Cambridge: Cambridge University Press. 2001.

Stepan, Alfred. "Federalism and Democracy: Beyond the US Model." *Journal of Democracy*. 16 (2). Pp. 133-176.

Montero in Spanakos

Ross, Cameron. "Federal and Electoral Authoritarianism under Putin." *Demokratizatsiya*. 13 (3). 2005.

Hahn, Gordon M. "Putin's Federal Reforms: Reintegrating Legal Space or Upsetting the Metastability of Russia's Asymmetrical Federalism." *Demokratizatsiya*. 9 (4). 2001. Pp. 498-530.

XIV Foreign Policy

Ferdinand, Peter. "Russia and China: Converging Responses to Globalization."

International Affairs. 83 (4). 2007. pp. 655-680.

Dodson, Michael and Manojchhr Dooraj. "Populism and Foreign Policy in Venezuela and Iran." *The Whitehead Journal of Diplomacy and International Relations*. IX (1).

Winter/Spring 2008. pp. 71-87.

Ellner, Steve. "Toward a 'Multipolar World': Using Oil Diplomacy to Sever Venezuela's Dependence." *NACLA Report on the Americas*. 40 (5). September/October 2007.

Rakel, Eva. "Paradigms of Iranian Policy in Central Eurasia and Beyond." *Perspective on Global Development and Technology*. 2 (3-4). 2002. pp. 549-571.

Chase, Robert S, Emily B. Hill, and Paul Kennedy. *Pivotal States*. New York: W.W. Norton. 2000.

Black, J.L. Vladimir Putin and the New World Order: Looking East, Looking West.

Lanham: Rowman & Littlefield. 2007.

Elman, Miriam Fendius. "The Foreign Policies of Small States." *British Journal of Political Science*.

Payne, Anthony. "Small States in the Global Politics of Development." *The Round Table* 93 (376). Sept 2004. pp. 623-635.

Wong and Hongyi. Part Four.

Font and Spanakos. Chapter 11.

West, Mark and Chris Carey. "(Re)Enacting Frontier Justice: The Bush Administration's Tactical Narration of the Old West Fantasy after September 11." *Quarterly Journal of Speech*. 92 (4) pp 379-412.